



15750 U.S. PTO

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PATENTS, TRADEMARKS, COPYRIGHTS

BARRY R. LIPSITZ  
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**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**UTILITY PATENT APPLICATION TRANSMITTAL  
FILED UNDER 37 C.F.R. §1.53(b)**



Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **Jens BEGER, Rudolf ZEPF, Rudolf BEISSE and Michael POTULSKI**

Title: **LOCKING MECHANISM FOR A BONE SCREW**

**APPLICATION ELEMENTS:**

- (1) ☒ Patent Application Specification, including Abstract and claims - 27 pages  
(2) ☒ Six (6) sheets of formal drawings, together with transmittal letter  
(3) ☒ A check in the amount of \$932 to cover the ☒ filing fee (\$932.00 ) and/or  
[ ] Assignment Recordal Fee (\$40) is enclosed.
- [ ] Before calculating the fee, cancel claim(s)  
[ ] Before calculating the fee, see copy of Preliminary Amendment filed in parent application \_\_\_\_\_ (attached hereto.)

Basic Fee								\$	770.00
Multiple Dependent Claims (\$260.)									
Foreign Language Surcharge (\$130.)									
	For	No. Filed	-	No. Extra		Rate			
EXTRA	Total Claims	29	20	9	x	\$18.	=	\$	162.00
CLAIMS	Independent Claims	3	3	0	x	\$86.	=	\$	0.00
<b>TOTAL FILING FEE</b>								=	\$ 932.00

- ☒ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**Page 2 of 3**

- (4) ☒ Declaration and Power of Attorney form -- 3 pages
- a. ☐ Newly executed
  - b. ☒ Copy from a prior application
  - c. ☐ Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.
- (5) ☐ Small Entity Status

**ACCOMPANYING APPLICATION PARTS:**

- (6) ☒ Assignment document
- a. ☐ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
  - b. ☒ Copy from a prior application
  - c. ☒ Please include assignee information when this application is published  
**Assignee: AESCULAP AG & Co. KG**  
**Am Aesculap-Platz**  
**D-78532 Tuttlingen**  
**Germany**
- (7) ☐ Preliminary Amendment
- (8) ☐ Certified Copy of Priority Document, together with separate transmittal letter
- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. EV 129898185 US)
- (12) ☒ Application Data Sheet
- (13) ☐ Other:
- a. ☐
-

- (14) [X] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation    ☒ Divisional    ☐ Continuation-in-part (CIP)  
of prior application no.: 10/038,376 filed on January 3, 2002  
Prior application information:  
Examiner: David Bonderer    Group/Art Unit: 3732  
Status: Allowed

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

- (15) [ ] Please amend the specification by inserting before the first line the sentence:

- (16) [ ] This application claims the benefit of \_\_\_\_\_ Patent Application  
No. \_\_\_\_\_ filed on \_\_\_\_\_

- (17) [X] Correspondence address:  
**Barry R. Lipsitz**  
**Law Offices of Barry R. Lipsitz**  
**755 Main Street, Building 8**  
**Monroe, CT 06468**  
**Telephone: (203) 459-0200**

Respectfully submitted,



\_\_\_\_\_  
Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street  
Monroe, CT 06468  
(203) 459-0200

**ATTORNEY DOCKET NO.: HOE-593.1**  
**Date: January 23, 2004**

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Beger et al. )  
Application No.: )  
Filed: Herewith )  
For: **LOCKING MECHANISM FOR A BONE SCREW** )

**DRAWING REVIEW BRANCH**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 129898185 US) addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 23, 2004.

By: Carol Prentice  
Carol Prentice

**TRANSMITTAL OF FORMAL DRAWINGS**

Dear Sir:

Enclosed are six (6) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,



Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Building 8  
Monroe, CT 06468  
(203) 459-0200

Date: January 23, 2004  
**ATTORNEY DOCKET NO.: HOE-593.1**

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Beger et al.

Application No.:

Filed: Herewith

For: **LOCKING MECHANISM FOR A BONE SCREW**

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number: EV 129898185 US

Date of Deposit: January 23, 2004

I hereby certify that the attached:

- ☒ Check in the amount of \$932 (Filing fee);
- ☒ Return receipt postage prepaid postcard;
- ☒ Transmittal letter for new patent application;
- ☒ Patent Application Specification, including Abstract and Claims (27 pages);
- ☒ Six (6) sheets of formal drawings, together with transmittal letter;
- ☒ Declaration and Power of Attorney form (copy from prior application);
- ☒ Assignment (copy from prior application);
- ☒ Application Data Sheet.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: **MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Carol Prentice

(Typed or printed name of person mailing paper or fee)

Carol Prentice

(Signature of person mailing paper or fee)

Respectfully submitted,

Date: **January 23, 2004**

ATTORNEY DOCKET NO.: **HOE-593.1**

Barry R. Lipsitz

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Attorney for Applicant(s)

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